

# United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO	Э.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/661,793		09/12/2003	Chi-An Kao	TS01-1037 8353  EXAMINER	
8933	7590	03/22/2006			
DUANE	MORRIS	, LLP	NGUYEN, KHIEM D		
IP DEPAR 30 SOUTH		rreet		ART UNIT	PAPER NUMBER
PHILADE	PHILADELPHIA, PA 19103-4196			2823	
				DATE MAILED: 03/22/2006	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)						
		KAO ET AL.						
Office Action Summary	10/661,793 Examiner							
		Art Unit						
The MAII ING DATE of this communication app	Khiem D. Nguyen	2823						
Period for Reply	- The MAILING DATE of this communication appears on the cover sheet with the correspondence address - Period for Reply							
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 6(a). In no event, however, may a reply be tim ill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONEI	l. ely filed the mailing date of this communication. O (35 U.S.C. § 133).						
Status	•	,						
1) Responsive to communication(s) filed on 17 Jan	nuary 2006.	·						
2a) This action is <b>FINAL</b> . 2b) ☐ This	This action is <b>FINAL</b> . 2b)⊠ This action is non-final.							
3) Since this application is in condition for allowan	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is							
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.								
Disposition of Claims								
4)⊠ Claim(s) <u>8-14</u> is/are pending in the application.								
4a) Of the above claim(s) is/are withdrawn from consideration.								
5)⊠ Claim(s) <u>12-14</u> is/are allowed.	·							
6)⊠ Claim(s) <u>8-11</u> is/are rejected.								
7) Claim(s) is/are objected to.								
8) Claim(s) are subject to restriction and/or	8) Claim(s) are subject to restriction and/or election requirement.							
Application Papers								
9)☐ The specification is objected to by the Examiner								
10)⊠ The drawing(s) filed on <u>12 September 2003</u> is/are: a)⊠ accepted or b)□ objected to by the Examiner.								
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).								
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.03(a).								
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.								
Priority under 35 U.S.C. § 119								
<u> </u>	priority under 35 H.C.C. \$ 440(a)	(d) on (f)						
12) Acknowledgment is made of a claim for foreign p a) All b) Some * c) None of:	priority under 35 U.S.C. § 119(a)	-(a) or (i).						
1. ☐ Certified copies of the priority documents have been received.								
2. Certified copies of the priority documents have been received in Application No.								
3. Copies of the certified copies of the priority documents have been received in this National Stage  3. Copies of the certified copies of the priority documents have been received in this National Stage								
application from the International Bureau (PCT Rule 17.2(a)).								
* See the attached detailed Office action for a list of the certified copies not received.								
· · · · · · · · · · · · · · · · · · ·								
Attachment(s)								
1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)								
<ul> <li>2) Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)</li> </ul>	Paper No(s)/Mail Da 5) Notice of Informal Pa	te atent Application (PTO-152)						
Paper No(s)/Mail Date	6) Other:							
S. Patent and Trademark Office								

Application/Control Number: 10/661,793

Art Unit: 2823

### **DETAILED ACTION**

## Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on January 17<sup>th</sup>, 2006 has been entered. A new rejection is made as set forth in this Office Action based on the newly discovered reference to Sedigh et al. (U.S. Patent 6,893,974). Claims (8-14) are pending in the application, in which claims 12-14 have been allowed.

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 8-11 are rejected under 35 U.S.C. 102(e) as being anticipated by Sedigh et al. (U.S. Patent 6,893,974).

In re claim 8, <u>Sedigh</u> discloses a system for creation of an opening of controllable format through a layer of insulation material, comprising:

Application/Control Number: 10/661,793 ·

Art Unit: 2823

means for creating an opening 22, 24 through a layer of etch resist material 20 provided over the surface of a layer of insulating material 16 having been deposited over the surface of a substrate 10 (col. 16, line 51 to col. 17, line 56 and FIG. 2);

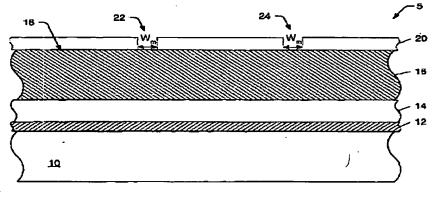


FIG. 2

means, including a feedback mechanism, for obtaining a critical dimension measurement of the opening 22, 24 created through the layer of etch resist material 20 and assuring that the critical dimension measurement is within design specification (col. 10, lines 6-52 and FIG. 9);

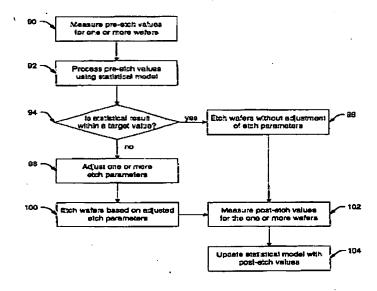
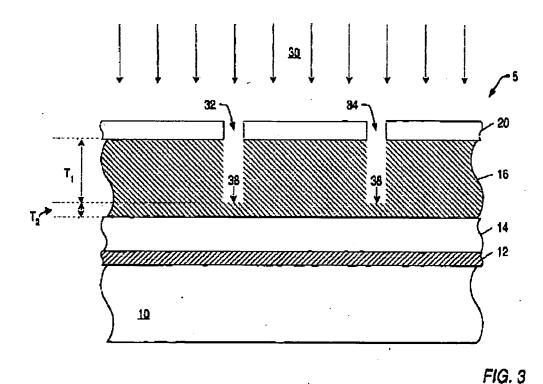


FIG. 9

Application/Control Number: 10/661,793

Art Unit: 2823

means for creating an opening 32, 34 through the layer of insulation material 16, whereby a diameter of the layer of insulation material 16 is dependent on a diameter of the opening 32,34 created through the layer of etch resist material 20 (col. 18, lines 4-57 and FIG. 3); and



means, including a feedback mechanism, (col. 13, lines 22-36) for assuring that the opening 32, 34 created through the layer of insulation material 16 is within design specification (col. 10, lines 6-52 and FIG. 9);

In re claim 9, <u>Sedigh</u> discloses means for assuring that the opening created through the layer of etch resist material is within design specification comprising: means for linking to a software supervisory function, thereby including data transmission functions, means for linking to a software function equally being linked to a software

Application/Control Number: 10/661,793 Page 5

Art Unit: 2823

supervisory function, thereby including data transmission functions; means for data manipulating capabilities, thereby including manipulating interdependent data; means for interfacing with semiconductor equipment, thereby including equipment functioning in a supporting role to the semiconductor equipment; and means for creating instructions for the semiconductor equipment, thereby including equipment functioning in a supporting role to the semiconductor equipment (col. 23, line 2 to col. 24, line 45 and FIG. 8).

In re claim 10, <u>Sedigh</u> discloses that means for assuring that the opening created through the layer of insulation material is within design specification comprising: means for linking to a software supervisory function, thereby including data transmission functions, means for linking to a software function equally being linked to a software supervisory function, thereby including data transmission functions; means for data manipulating capabilities, thereby including manipulating interdependent data; means for interfacing with semiconductor equipment, thereby including equipment functioning in a supporting role to the semiconductor equipment; and means for creating instructions for the semiconductor equipment, thereby including equipment functioning in a supporting role to the semiconductor equipment (col. 23, line 2 to col. 24, line 45 and FIG. 8).

In re claim 11, <u>Sedigh</u> discloses that the system of claim 8, further comprising means for creating an opening having non-linear sidewalls through a layer of insulation material by applying a high-polymer based etch to the surface of the layer of insulation material (col. 23, line 2 to col. 24, line 45 and FIG. 8).

Allowable Subject Matter

Claims 12-14 were previously allowed.

Art Unit: 2823

# Response to Applicants' Amendment and Arguments

Applicants contend that the reference Wu et al. (U.S. Pub. 2005/0042523), herein known as Wu, does not teach or suggest the claimed feature of obtaining CD (critical dimension) measurements of the opening created through the layer of etch resist (i.e., photoresist) material, which is commonly known as the AD( (after develop inspect)—CD and which are obtained before the subsequent etching process. Applicants further contend that the reference Lymberopoulos et al. (U.S. Pub. 2004/0092047) herein known as Lymberopoulos, does not disclose the feature of "means, including a feedback mechanism for obtaining a critical dimension measurement of the opening created through the layer of etch resist material and assuring that the critical dimension measurement is within design specification".

In response to Applicants' contention that Wu does not teach or suggest the claimed feature of obtaining CD measurements of the opening created through the layer of etch resist material and Lymberopoulos, does not disclose the feature of "means, including a feedback mechanism for obtaining a critical dimension measurement of the opening created through the layer of etch resist material and assuring that the critical dimension measurement is within design specification", Examiner respectfully submits that Applicants' arguments are moot in view of the newly discovered reference to Sedigh et al. (U.S. Patent 6,893,974) applied under 35 U.S.C. 102(e) rejection presented in this Office Action. Applicants are directed to (FIGS. 2 and 9) where Sedigh discloses means for creating an opening 22, 24 through a layer of etch resist material 20 provided over the surface of a layer of insulating material 16 having been deposited over the surface of a

Application/Control Number: 10/661,793

Art Unit: 2823

substrate 10 (col. 16, line 51 to col. 17, line 56 and FIG. 2); and means, including a feedback mechanism, for obtaining a critical dimension measurement of the opening 22, 24 created through the layer of etch resist material 20 and assuring that the critical dimension measurement is within design specification (col. 10, lines 6-52 and FIG. 9). For this reason, Examiner holds the rejection proper.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Khiem D. Nguyen whose telephone number is (571) 272-1865. The examiner can normally be reached on Monday-Friday (8:30 AM - 5:30 PM).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Matthew S. Smith can be reached on (571) 272-1907. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

K.N. March 17, 2006

> W. DAVID COLEMAN PRIMARY EXAMINER

Page 7